

#### **Duke University** Durham, North Carolina 27705



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#### RESEARCH ANIMAL COORDINATOR CERTIFICATION

**Program Overview** 

The Duke Animal Care and Use Program offers a training and certification program for individuals wishing to serve as their laboratory 'go-to' person for animal care and use activities. Referred to as the Research Animal Coordinator Certification (RACC) Program, individuals who participate in this program will receive specific and detailed information concerning animal care and use at Duke. Those who achieve certification may be designated by the Principal Investigator to serve as the laboratory coordinator for all animal activities and provide in-lab guidance regarding animal care and use at Duke. In addition to assisting with protocol development and processing, the RACC will be trained to provide internal compliance assistance and hands-on procedure skills to laboratory members. The Duke Animal Program views the RACC as the laboratory 'subject matter expert,' who will facilitate successful use of animals in research activities while also knowing how to accomplish the variety of regulatory tasks at Duke. This program provides direct applicability to the researcher (smoother research application approval, accelerated approval, decreased risk of non-compliance issues) while also benefiting the RACC candidate (enhancing the value to the research team, improving research skills, providing an improved knowledge of how to 'get things done' for animal care or use issues). RACC training is consistent with required training for certification with AALAS (American Association for Laboratory Animal Science), and if desired by the candidate may be used as training leading to formal certification with AALAS as an ALAT (Assistant Laboratory Animal Technician), LAT (Laboratory Animal Technician), or LATG (Laboratory Animal Technologist).

The RACC program is voluntary, and offered at no-cost by the Duke Animal Care and Use Program. Managed by the Office of Animal Welfare Assurance (OAWA) with significant contributions from the Division of Laboratory Animal Resources (DLAR) and the Institutional Animal Care and Use Committee (IACUC), the RACC program uses a multi-modal educational approach (e.g., lectures, web-modules, meetings, one-on-one discussion, and hands-on learning). The Research Animal Coordinator will develop new knowledge and research skills in all aspects of animal program oversight and management to include protocol development, compliance oversight, common animal procedures involving anesthesia and analgesia, aseptic surgical technique, DLAR husbandry procedures, facility security, literature searches for alternatives to painful or distressful procedures, and much more. The RACC candidate will be evaluated throughout the RACC program and those meeting or exceeding the minimum knowledge and skill requirements will be certified as a Duke Animal Care and Use Program RACC. As a certified RACC, individuals shall have a greater overall knowledge of animal research, and a clear understanding of how to efficiently conduct fully compliant research at Duke. The RACC program will include:

SKILLS DEVELOPMENT FOR PROTOCOL APPLICATIONS AND AMENDMENTS: The RACC will receive tips and suggestions for writing an animal use application which is complete and will pass muster of IACUC review. An application which meets the regulatory requirements is generally approved more rapidly than an application with significant issues requiring attention.

- TIPS FOR PROCESSING OF PROTOCOL APPLICATIONS & AMEMDMENTS: The RACC will
  receive training in specific processing measures and tips to make the application process
  most efficient. The RACC will be able to facilitate the principal investigator through the
  application processes.
- PROTOCOL AND GRANT CONCORDANCE GUIDANCE: The RACC will be trained in the
  process of grant concordance reviews. Having this skill set will encourage an earlier
  assessment and, if necessary, proper amendment submission to make the protocol and
  grant concordant, and therefore a more rapid receipt of concordance letters for the
  researcher.
- POLICIES AND GUIDELINES: The RACC will receive training in Duke animal program polices, the process of policy development, interpretation and application of the Duke policies, and the differences between policies and guidelines. The RACC will become well versed in Duke's policies and learn strategies to apply those policies to benefit animal welfare and maintain compliance.
- **LABORATORY PERSONNEL TRAINING:** The RACC will receive advice and direction on effective monitoring of laboratory personnel training status and recommendation to ensure that all members are up-to-date and compliant.
- **LABORATORY RESOURCE:** The RACC will be seen as the 'in-lab' go-to person for questions on animal welfare, regulations, protocol compliance and animal procedures.
- TRAINER FOR BASIC ANIMAL PROCEDURES: The RACC will receive wet-lab training and be
  able to instruct laboratory personnel on appropriate animal handling and basic animal
  procedures.
- **LABORATORY LIAISON:** The RACC will have the skills to serve as a liaison and facilitator for communication between the laboratory and the IACUC, OAWA and DLAR.
- **LABORATORY MENTOR:** The RACC will have the skills to educate other laboratory personnel on proper techniques and procedures such as aseptic surgical technique, monitoring animals for well-being, and required record keeping.
- ANIMAL CARE AND USE COMPLIANCE: The RACC will have the knowledge to effectively
  guide laboratory personnel in reporting animal concerns, protocol noncompliance, and
  devising self-corrective measures which have a high likelihood of being IACUC approved.
- **LABORATORY OMBUDSMAN:** The RACC can serve as a central laboratory member who will disseminate updates in protocol information, new or modified policy updates.

The RACC program consist small 'CLASSES' of participants, generally 6-8 candidates in a specific class. The successful candidate will complete both 'PHASES' of the RACC program.

PHASE I will consist of an introductory session which describes the expectations and sets a time frame for accomplishment of the required modules. PHASE I is core material required of all candidates. Core material training is approximately 80% web module training which can be accomplished at the convenience of the candidate, but within the range of dates for the specific class. The remaining sessions are small group seminars presented by Duke Animal Care and Use Program leadership.

PHASE II will consist of species specific training. The discussions and activities of PHASE II will focus on the specie(s) in use in the RACC candidate's laboratory. The Curriculum Matrix provides more detailed information about PHASE 1 and PHASE II.



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Week 5	Inspections and Audits
Week 6	Reports
Week 7	Employee Occupational Health & Wellness
Week 8	Occupational Environmental Safety Office
Week 9	Controlled Substances
Week 10	DLAR Policies

#### **AALAS LEARNING LIBRARY ASSIGNMENTS**

#### **RACC PHASE II - SPECIES CURRICULUM**

**Amphibians** 

Birds

Cats

Dogs

Fish

Gerbils

**Guinea Pigs** 

Mice

Nonhuman primates

Rats

Swine

Rabbits

**RACC PROCEDURES TRAINING FORM** 

**RACC APPLICATION** 

**PRE-COURSE SURVEY** 

**RACC CERTIFICATE OF COMPLETION** 

		TION (RACC) CURRI			
A	TOPIC	SUB-TOPICS	FORMAT	INSTRUCTOR	AALAS LEARNING LIBRARY MODULES
	ORIENTATION	Curriculum Review	Seminar	RACC Facilitator	
		Introduction to the RACC			
В	THE DUKE IACUC	IACUC Membership	Seminar	IACUC Chairperson	Working with the IACUC – Non VA version
	THE BOKE IACOC	Subcommittees	Jennia	OAWA Director	Animal Welfare Act Regulations
		Regulations		G/M// Birector	PHS Policy on Humane Care & Use
		Mock Protocol Review	Practical		
C .	APPLICATION DEVELOPMENT	Protocol Development	Seminar	Senior Compliance Liaison	Euthanasia of Research Animals
		Animal number justification			Pain Recognition and Alleviation in Laboratory Animals
		Policies and Exemptions			Ethical Decision Making in Animal Research
		"Does this activity require			
		an exemption?"	Practical		
	LITERATURE REVIEW FOR ALTERNATIVES TO	Commonante of a literature			
		Components of a literature		Diamedical Descends Librarian	
	PAINFUL OR DISTRESSFUL PROCEDURES	search 3 R's		Biomedical Research Librarian	
		3 1/ 3			
		Search strategies/databases	Seminar		
		Literature search			
		assignment	Practical		
D	DOCUMENT PROCESSING	OAWA Processing	Seminar	Grants and Contracts Specialists	
		IACUC Review and			
		Dispostion			
		IACUC Semi-annual			
E	INSPECTIONS AND AUDITS	Inspections	Seminar	Compliance Liaison Staff	The Semiannual Facility Inspection
		Compliance Audits			Common Compliance Issues
		Case-based scenarios to			
		illustrate compliance or non-	Donation		Additional Description Association
		compliance	Practical		Maintaining Animal Procedure Areas Post Approval Monitoring
F	REPORTS (USDA, OLAW, AAALAC)	Institutional reporting	Seminar	IACUC Administrator	Post Approval Monitoring
' l	NEI ONIO (OSDA, GENTI, AAAERG)	Annual progress reports	Scriiiiai	OAWA Associate Director	
		Counting animals		Grand Street	
		Scenario discussion of USDA			
		pain and distress categories			
		and quiz	Practical		
	EMPLOYEE OCCUPATIONAL HEALTH & WELLNESS				
G	(EOHW)	Employee Health Resources	Seminar	Occ. Health Physician/Nurse	Occupational Health and Safety in the Care and Use of Animal
		Recognition of risk			
		assessment Required training and			Laboratory Animal Allergy
		medical surveillance			
		inculcul surveinunce			
	OCCUPATIONAL ENVIRONMENTAL SAFETY OFFICE	OESO processes and		Occupational Environmental Safety	
н	(OESO)	protocol review	Seminar	Office	Syringes and Needles
					Orientation to Bio-Safety in Microbiological and Biomedical
		SOP writing			Laboratories
		Requirements for the use of			
		hazardous agents			Blood-borne Pathogens Training for Animal Research
		Locato CORc unina OFCO			
		Locate SOPs using OESO			
		websites; chemical look-up; reporting compliance	Practical		
		. 5p 5r cing compliance	accicui		Laboratory Bio-Security
	CONTROLLED SUBSTANCES	Review of schedules	Seminar	IACUC Vice-chairperson	Working with Controlled Substances
	-	C.S. storage and		,	
		recordkeeping			
		-			
		Process for DEA registration			
				Lanua at .	1
		and licensure		OAWA Director	
				DLAR Veterinarian/Assistant	Personal Protective Equipment for Working in a Laboratory
1	DLAR POLICIES AND PROCEDURES	and licensure  DLAR resources Biosecurity	Seminar		Personal Protective Equipment for Working in a Laboratory Animal Facility

#### RESEARCH ANIMAL COORDINATOR CERTIFICATION

#### **TEACHING PLAN**

**SESSION TITLE: ORIENTATION** 

#### **OBJECTIVES OF THIS SESSION:**

- 1. To provide the new RAC candidate with an overview of the course and the expectations of each candidate.
- 2. To introduce the three 'legs' of the animal program.
- 3. To remind candidates of the obligatory nature of animal protections in animal based research.
- 4. To encourage RAC candidate to explore the opportunities before them in animal care & use.

#### MATERIALS/RESOURCES NEEDED FOR THIS SESSION:

- Course syllabus
- Course Schedule
- Password & training for AALAS Learning Library
- Initial Survey

**OBJECTIVE OF THIS SESSION:** Student will recognize the significance of their training and the value that they can contribute to their laboratory with this certification. Students will receive the necessary guidance to begin their self-training using the AALAS LL. Students will be able to describe why they desire RAC certification and how the certification may assist their laboratory.

#### ANTICIPATORY KNOWLEDGE FOR THIS SESSION:

- 1. A general knowledge of animal care & use at Duke.
- 2. Recognition of role of the IACUC in animal care & use.
- 3. Recognition of the value of animals in research, testing, teaching, or exhibition.
- 4. Recognition of their laboratories activities.

#### STUDENT PREPARATION PRIOR TO THE SESSION: None.

#### METHODOLOGY TO ASSESS UNDERSTANDING:

- 1. Questions during the presentation
- 2. Scenarios discussed during the presentation

**OPENING STATEMENT:** The Research Animal Coordinator can serve a pivotal role within the laboratory by assuring animal well-being and quality research outcomes and improving the efficiency of proposals and approvals, while also discouraging the development of adverse events which could be reportable to federal oversight agencies.

#### POINTS TO ADDRESS DURING THIS SESSION:

- > Candidate introductions and description of why they want to become a RAC.
- Certification will not be tied to protocol approval or renewal.
- > This is a one-time certification, but will have annual 'refreshers' and quarterly interactions.
- > Designed to improve communications between PI, OAWA, DLAR, IACUC.
- Reduce incidence of protocol non-compliance within the laboratory.
- > Improve flow of work between lab and support entities.
- ➤ Better prepare lab staff for their roles support of PIs projects.
- Course open to all lab staff (preferred candidates are those with lab management responsibilities).
- Focus of course will be on processes, procedures which will make the researcher successful.
- Consist of didactic, hands-on training, AALAS Learning Library, and Facility tours.
- Hands on workshop: DLAR training and vet staff.

**CLOSING STATEMENT/CONCLUSION OF TRAINING:** This course is exactly what you invest into it. You can significantly enhance your laboratories research opportunities while inoculating them from potentially important adverse outcomes.

#### **POST-SESSION ASSIGNMENTS:**

- 1. Completion of AALAS LL assignments prior to the next RAC meeting.
- 2. Development of a single idea to improve your laboratory's function.

**SESSION TITLE:** The Duke IACUC

**MATERIALS/RESOURCES NEEDED FOR THIS SESSION:** Students are provided an IACUC proposal for review. The proposal has several problems that students should identify and be prepared to discuss.

#### **OBJECTIVES OF THIS SESSION:** Be able to answer the following questions:

- 1. Why are IACUCs necessary?
- 2. What is the make-up of the IACUC at this institution?
- 3. What are the responsibilities of IACUC members?
- 4. How does the Committee function during meetings (deliberations, dispositions)?
- 5. By what principles do IACUCs evaluate proposals for animal use?

## ANTICIPATORY KNOWLEDGE FOR THIS SESSION: Completion of the following AALAS LL Web Modules:

- Working with the IACUC Non VA version
- Animal Welfare Act Regulations
- PHS Policy on Humane Care & Use

#### STUDENT PREPARATION PRIOR TO THE SESSION:

- 1. Complete AALAS Learning Library modules.
- 2. Read, edit, and be prepared to discuss the sample IACUC protocol in a mock protocol review session.

#### **METHODOLOGY TO ASSESS UNDERSTANDING:**

- 1. Did the student successfully complete the AALAS Web Modules?
- 2. Did the student participate in the discussion and correctly identify the protocol concerns?
- 3. Did the student express a familiarity with the regulations / policies that apply to the protocol?
- 4. Did the student ask questions regarding aspects of the protocol that were in the 'gray' area?

#### POINTS TO ADDRESS DURING THIS SESSION:

- Regulatory basis for IACUC requirements
- Animal rights vs. animal welfare, including intrinsic value for mankind vs. ethical costs for animals, 3Rs (reduce, replace, refine).
- Composition of local IACUC
- Responsibilities of IACUC: protocol approval, annual protocol reviews, semi-annual facility site visits, semi-annual program evaluations, monitor protocol compliance, investigate animal welfare concerns
- Structure of local IACUC meeting (particularly important if IACUC meetings are "closed")
- Principles to consider in protocol review, including examples
- Discussion of sample protocol

#### **POST-SESSION ASSIGNMENT: None**

**SESSION TITLE:** Application Development

#### TRAINING OBJECTIVES OF THIS SESSION:

- 1. Introduce the RAC candidates to the regulatory bodies and documents that guide the IACUC Animal Use Application review process.
- 2. Introduce the 3 R's: Replacement, Reduction and Refinement
- 3. Orient the RAC candidate to the structure and format of the Animal Use Application
- **4.** Discuss common pitfalls of the application process (personnel, animal number justification, research facilities, special husbandry requirements, controlled substance use for research, withholding anesthesia or analgesia, euthanasia and exemptions).

#### MATERIALS/RESOURCES NEEDED FOR THIS SESSION:

- Dr. Hale's mock protocol PI: Smythe, "Mechanisms of Inflammatory Bowel Disease Pathogenesis"
- Dr. Vanderford's OAWA review for mock protocol
- Power Point handout for note taking

#### STUDENT OBJECTIVE OF THIS SESSION:

At the conclusion of this session, the student will gain insight into the OAWA and IACUC review process. They will become more familiar with the application process and the regulatory reasons for the requested information. The RAC graduates will be well-prepared to take on the responsibility of protocol and amendment application for their laboratories.

#### ANTICIPATORY KNOWLEDGE FOR THIS SESSION:

Students will have varied backgrounds in laboratories, but will all be familiar with the Animal Use Application and IACUC review process.

#### STUDENT PREPARATION PRIOR TO THE SESSION:

Students will have gone over Dr. Hale's mock protocol in a previous class.

#### **METHODOLOGY TO ASSESS UNDERSTANDING:**

Students are provided with the OAWA review of Dr. Hale's mock protocol from the previous session. At the conclusion of my presentation, we will apply the concepts by going over the review and eliciting questions from their own laboratory experiences (i.e. different species, different types of procedures,

etc.). Hopefully they will get a broader understanding of how to apply the regulatory review concepts in various contexts.

#### **OPENING STATEMENT:**

What happens to your protocol after you submit it to <a href="IACUC@duke.edu">IACUC@duke.edu</a>?

#### POINTS TO ADDRESS DURING THIS SESSION:

- Animal Use Application processing and review process
- Regulatory guidance and resources
- Goals of OAWA and IACUC protocol review
- ➤ The 3 R's
- > Introduction to the Animal Use Application
- Common pitfalls of the application process
- Expectations for recognition and treatment of painful or distressful procedures
- > The requirements for scientific justification for withholding anesthesia or analgesia
- > Euthanasia policies at Duke
- ➤ The requirements for scientific justification for exemptions
- > Process for requesting a pre-review of a draft application
- > Contact information for assistance with protocol application development

#### **INTERACTIVE EXERCISE OR ASSIGNMENT:**

I will lead a scenario based game entitled "Does this activity require an exemption?"

#### **CLOSING STATEMENT:**

Encourage RAC students to be the representatives for their laboratories and consider taking on the role of protocol and amendment processing for their Pl's.

#### **POST-SESSION ASSIGNMENT:**

Encourage students to call with questions as they arise.

SESSION TITLE: Searching the Literature for Animal Alternatives to Painful Procedures

#### **OBJECTIVES OF THIS SESSION:**

Learners will be able to:

- Focus a research protocol question into the components needed to conduct a thorough literature search
- Select appropriate databases to search
- Design search strategies to cover the 3 R's
- Understand and apply basic search tools like Boolean operators, truncation, and subject headings appropriately
- Conduct a search in PubMed

#### MATERIALS/RESOURCES NEEDED FOR THIS SESSION:

- Projector
- Computer with access to the internet

**ANTICIPATORY KNOWLEDGE FOR THIS SESSION:** It would be useful for students to have some prior knowledge of basic literature search skills. Students should also have knowledge of the 3 R's and why it is important to do a search for animal alternatives to painful procedures. It would be more relevant for the student if they came to the session with an example protocol in mind from work they have done or plan to do.

#### STUDENT PREPARATION PRIOR TO THE SESSION:

Students should review the online guide: http://guides.mclibrary.duke.edu/animalalternatives

#### **METHODOLOGY TO ASSESS UNDERSTANDING:**

A brief assignment given after the session requiring them to complete an animal alternatives literature search and fill out a series of questions describing the process. I will review their answers and provide feedback.

#### **OPENING STATEMENT:**

• Introduce myself

- Introduce Library Guide: http://guides.mclibrary.duke.edu/animalalternatives
- Discuss the need for searching and the 3 R's

#### POINTS TO ADDRESS DURING THIS SESSION:

- Developing a Search strategy
  - o Focus your question
  - o Strategies for each of the 3 R's
  - o Searching basics (Boolean operators, truncation, etc.)
  - o Search PubMed
    - How to use MeSH terms
    - Demonstrate a search in PubMed
    - Review NCBI Account Features

#### **CLOSING STATEMENT**

Contact the Library for help with searching the literature and use the materials we have provided in class and online as guides.

#### **INTERACTIVE EXERCISE OR ASSIGNMENT:**

During the session I will work through an example and will engage students in helping to make decisions and complete a search. After the session they will have a brief assignment to conduct a literature search and answer questions about the search.

**SESSION TITLE:** Document Processing

#### **OBJECTIVES OF THIS SESSION:**

- 1. To familiarize the RACC students with the forms to submit for protocols and amendments, and the deadlines to do so.
- 2. To discuss the protocol specialist role in processing amendments, protocols and renewals.
- **3.** To exemplify common mistakes when filling out and submitting the forms.

#### MATERIALS/RESOURCES NEEDED FOR THIS SESSION:

-Print outs of common forms RACCs will submit.

#### **OBJECTIVE OF THIS SESSION:**

Students should have a better understanding of the protocol, renewal and amendment submission process. They should be able to recognize common mistakes when filling out these forms, so as to insure they will not make these mistakes in the future.

#### ANTICIPATORY KNOWLEDGE FOR THIS SESSION:

- Appreciation of the importance of protperly filling out and submitting protocol and amendment forms.
- Familiarization with commonly submitted forms.
- Resources to assist RACCs in their submissions.

#### STUDENT PREPARATION PRIOR TO THE SESSION:

None

#### **METHODOLOGY TO ASSESS UNDERSTANDING:**

- Questions during the presentation
- Active discussion during presentation
- Role play with students reviewing form as if they were processing it.

#### **OPENING STATEMENT:**

Submitting forms correctly is extremely important, because without protocols PIs cannot conduct research. The speed in which protocols and amendments can be approved is much faster if originally submitted correctly.

#### POINTS TO ADDRESS DURING THIS SESSION:

- > Commonly used forms and where they are located.
- ➤ The importance in checking for the newest version.
- Deadlines
- Common mistakes
- Granite numbers
- > Importance in responding to questions regarding submissions.

#### **CLOSING STATEMENT:**

Protocol and amendment approval is extremely important and are much more efficiently processed when submitted correctly initially. Learn who the protocol specialist is for your department and they can assist you in future submissions.

#### **POST-SESSION ASSIGNMENT:**

None

**SESSION TITLE:** Post Approval Monitoring

#### **OBJECTIVES OF THIS SESSION:**

- 1. To provide an overview of the post approval monitoring program
- 2. To identify and discuss most common non-compliances
- **3.** To discuss reporting of adverse events

#### MATERIALS/RESOURCES NEEDED FOR THIS SESSION:

None

#### **OBJECTIVE OF THIS SESSION:**

Student should be able to understand how the post approval monitoring program works and its importance at Duke. They should also understand what the common categories of non-compliance are and how to avoid these, as well as how to report adverse or unanticipated events to the IACUC.

#### **ANTICIPATORY KNOWLEDGE FOR THIS SESSION:**

- Recognition of the role of the IACUC in animal care and use
- Recognition of the value of animals in research, testing and teaching
- Recognition of their laboratory's activities

#### STUDENT PREPARATION PRIOR TO THE SESSION:

None

#### **METHODOLOGY TO ASSESS UNDERSTANDING:**

- Questions during the presentation
- Active discussion during presentation

#### **OPENING STATEMENT:**

Post Approval Monitoring is an important part of the Duke research community and serves as a way to validate training, knowledge of the animal care and use policies and individual protocols. It is a team effort among the OAWA, IACUC, DLAR and research personnel. Everyone is responsible for assuring the humane care and use of the animals at Duke.

#### POINTS TO ADDRESS DURING THIS SESSION:

Description of Post Approval Monitoring Program at Duke

- Importance of Post Approval Monitoring
- ➤ Importance of Post Approval Monitoring being a team effort
- Common categories of non-compliance for previous year
- ➤ How to identify non-compliances
- ➤ How to avoid non-compliances
- ➤ How to report non-compliances and adverse or unanticipated events

#### **CLOSING STATEMENT:**

Post Approval Monitoring is an important part of the Duke animal care and use community. It takes a team effort to ensure the highest quality program. Research personnel are vital to this program as they are daily participants in animal care and use and serve as eyes and ears in the field.

#### **INTERACTIVE EXERCISE OR ASSIGNMENT:**

We will list some scenarios and ask students to tell us whether procedures are non-compliant or compliant.

**SESSION TITLE:** Annual Reporting

**OBJECTIVES OF THIS SESSION:** Be able to: 1)Describe the details of what the institution is required to report to PHS, USDA, and AAALAC, Int. each year; 2) Understand how to count "animals used" for USDA, AALAC, and protocol-specific annual reports; and 3) Provide accurate annual reports related to the participant's own research protocols.

MATERIALS/RESOURCES NEEDED FOR THIS SESSION: None

**ANTICIPATORY KNOWLEDGE FOR THIS SESSION:** Basic understanding of regulatory entities: PHS, USDA, and AAALAC, Int. and Duke's requirement for submission of a protocol annual report for all protocols.

**STUDENT PREPARATION PRIOR TO THE SESSION:** The AALAS Learning Library modules covering PHS Policy and Animal Welfare Act/Regulations have previously been covered with the regulations component of "The Duke IACUC" seminar.

**METHODOLOGY TO ASSESS UNDERSTANDING:** Evaluation of the completeness and accuracy of future reports submitted as data for preparation of institutional annual reports and for annual protocol renewals.

**OPENING STATEMENT:** Not applicable

#### POINTS TO ADDRESS DURING THIS SESSION:

- ➤ Differences in definition of an animal between USDA, OLAW, AAALAC, and your institution
- ➤ What information is required from each lab group with regard to preparation of the USDA annual report, including categorization of animals in pain categories B E
- What information is required for preparation of annual reports to OLAW
- What information is required for preparation of annual reports to AAALAC
- What information is required in annual reports specific to each protocol ("annual renewals") and how that may differ from what is provided for the other institution-wide reports

**CLOSING STATEMENT:** Not applicable

#### **INTERACTIVEEXERCISE OR ASSIGMNENT:**

- In class scenario discussion of USDA pain and distress categories
- In class group quiz on animal counting, deadlines, USDA pain and distress categories, exemptions, and Category E scientific justification

**SESSION TITLE:** Employee Occupational Health and Wellness: Ensuring Health and Safety of Employees Working with Research Animals

#### **OBJECTIVES OF THIS SESSION:**

- 1. Know the component resources comprising EOHW: Personal Assistance Service (PAS), Live for Life (LFL) and Employee Occupational Health (EOH)
- 2. Recognize circumstances EOH utilization is indicated: work-related injury/illness, preparation for work-related international travel, fitness for duty evaluations, reproductive health consultation
- 3. Understand reason employees are required to complete employee health medical surveillance for animal handlers prevention of lab animal allergies, protection of animals

MATERIALS/RESOURCES NEEDED FOR THIS SESSION: EOHW and OESO websites

**OBJECTIVE OF THIS SESSION:** Access EOHW appropriately and ensure employees comply with surveillance requirements

ANTICIPATORY KNOWLEDGE FOR THIS SESSION: Work at Duke in a research lab

STUDENT PREPARATION PRIOR TO THE SESSION: Be familiar with OESO and EOHW websites

METHODOLOGY TO ASSESS UNDERSTANDING: Answer questions at end of session

**OPENING STATEMENT:** EOHW Mission – enhance health and productivity of the workforce, diminish risk to individual employee, and institution, address regulatory expectations.

#### POINTS TO ADDRESS DURING THIS SESSION:

- > 1. PAS overview
- 2. LFL overview
- ➤ 3. EOHW services including Fitness for Duty assessments, Travel Health Services, Reproductive Health Counsel, Work-related injury/illness care and medical surveillance
- ➤ 4. Goals of Medical Surveillance
- > 5. Lab animal allergies etiology and preventive methods
- ➤ 6. Process of Duke Animal Handler medical surveillance
- > 7. General overview of medical surveillance for respirator use, latex exposure, high containment laboratory work

**CLOSING STATEMENT:** Students should now have an improved knowledge of EOHW resources available, basis for medical surveillance requirements including animal handler surveillance and how to access EOHW.

**POST-SESSION ASSIGNMENT: None** 

**SESSION TITLE:** Research Animal Coordinator Certification (RACC) Training – Occupational & Environmental Safety Office

#### **OBJECTIVES OF THIS SESSION:**

- 1. To become familiar with OESO and the responsibilities of each division and their resources
- 2. To understand the protocol review process through OESO
- 3. To review use of the OESO Laboratory Management System
- 4. To review writing SOPs and further explain OESO and IACUC requirements for use of hazardous agents in animals

**MATERIALS/RESOURCES NEEDED FOR THIS SESSION:** Copies of slides, sample protocol review form, homework questions

**OBJECTIVE OF THIS SESSION:** The objective is to have students be able to assist in preparing protocols for submission with all the required information included (e.g., SOPs, updated personnel in the online management system) to enable quick, efficient clearance of their protocol.

**ANTICIPATORY KNOWLEDGE FOR THIS SESSION:** Knowledge of their laboratory's biological, chemical, and/or radiological hazards relevant to animal research

**STUDENT PREPARATION PRIOR TO THE SESSION:** Read slides, familiarize self with OESO website, attempt to answer the homework questions

METHODOLOGY TO ASSESS UNDERSTANDING: Completion and review of homework assignment

**OPENING STATEMENT:** OESO wants to partner with labs to create a safer work environment. Through this class you will learn to use the OESO website and many resources available to lab employees. You will be prepared to submit your protocol with all required information and understand why these requirements are in place in order to achieve OESO clearance.

#### POINTS TO ADDRESS DURING THIS SESSION:

- > Prevention of Occupational Exposure to Biological, Chemical and Radiological agents
- Prevention of injuries from safety and ergonomic hazards
- What OESO screens for in the protocol review
- Section H
- > Training compliance
- Hazardous Agent and Animal Handling SOPs
- OESO clearance

**CLOSING STATEMENT:** We hope that you have learned about the many resources available through OESO and we look forward to working with you to make your lab a safer work environment. (Contact information for OESO staff involved in the protocol review process is made available.)

**INTERACTIVE EXERCISE OR ASSIGNMENT:** The class will participate in the following: Locate SOPs using various OESO websites; conduct chemical look up; reporting and training compliance within the Laboratory Safety Management database; complete homework assignment and review.

SESSION TITLE: Controlled Substances Management in Animal Research at Duke

**OBJECTIVES OF THIS SESSION:** At the completion of this module, students should understand 1) the regulatory oversight for the use of controlled agents for animal research at Duke, 2) controlled drug schedules, 3) the application process for federal and state registrations, 4) security and storage of controlled agents, 5) record-keeping and documentation for controlled drugs, 6) method of disposal, 7) audits and 8) how to report concerns.

MATERIALS/RESOURCES NEEDED FOR THIS SESSION: None

**ANTICIPATORY KNOWLEDGE FOR THIS SESSION:** Basic understanding of regulatory oversight for controlled drugs: DEA (Drug Enforcement Administration) and DHHS (Department of Health and Human Services).

**STUDENT PREPARATION PRIOR TO THE SESSION:** AALAS Learning Library module "Working with Controlled Substances."

METHODOLOGY TO ASSESS UNDERSTANDING: Group discussion on key points presented

**OPENING STATEMENT:** Seminar will follow Power Point presentation

#### POINTS TO ADDRESS DURING THIS SESSION:

- Regulatory oversight for controlled drug use for animal research
- Controlled substance schedules and commonly used drugs for animal research
- Definitions related to licensure and oversight of controlled drugs
- Application process for DEA and DHHS registration
- Security and storage for controlled drugs
- Recordkeeping
- > Methods of disposal
- Controlled drug auditing
- > Reporting concerns related to diversion
- Helpful resources

**CLOSING STATEMENT:** Not applicable

**INTERACTIVE EXERCISE OR ASSIGNMENT:** Question and answer session

**SESSION TITLE:** DLAR Husbandry and Operations

OBJECTIVES OF THIS SESSION: Provide information for research staff on what DLAR's mission is, what our staff brings to the research equation, what DLAR resources are available, and provide contact information to make it easier to access DLAR services.

MATERIALS/RESOURCES NEEDED FOR THIS SESSION: None

**OBJECTIVE OF THIS SESSION:** To provide useful information about DLAR operations and husbandry procedures and to encourage dialog between DLAR and research staff.

**ANTICIPATORY KNOWLEDGE FOR THIS SESSION: N/A** 

STUDENT PREPARATION PRIOR TO THE SESSION: N/A

METHODOLOGY TO ASSESS UNDERSTANDING: Open dialog

**OPENING STATEMENT:** We are Happy to have the opportunity to find out what DLAR can do to provide you with excellent customer service and easy access to DLAR resources.

#### POINTS TO ADDRESS DURING THIS SESSION:

- Services we provide
- How to contact the right DLAR personnel for what you need
- ➤ How can we help?

**CLOSING STATEMENT:** We want to emphasize that our goal is to be a valued member of your research team and to provide the best possible animal care and customer service.

**POST-SESSION ASSIGNMENT: None** 

#### **DUKE UNIVERSITY ANIMAL CARE AND USE PROGRAM**

#### RESEARCH ANIMAL COORDINATOR CERTIFICATION PROGRAM

#### **AALAS LEARNING LIBRARY ASSIGNMENTS FOR COURSE COMPLETION**

Please Note\*\*: These modules should be completed prior to the date class meets.

#### **SUBJECT: The Duke IACUC**

- Working with the IACUC Non VA version
- ➤ Animal Welfare Act Regulations
- PHS Policy on Humane Care & Use

#### **SUBJECT: Application Development**

- Euthanasia of Research Animals AVMA Guidelines
- > Pain Recognition and Alleviation in Laboratory Animals
- > Ethical Decision Making in Animal Research

#### **SUBJECT: Inspections/Audits**

- The Semiannual Facility Inspection
- > Ethical Decision Making in Animal Research
- Common Compliance Issues
- Maintaining Animal Procedure Areas
- Post Approval Monitoring

#### SUBJECT: Employee Occupational Health and Welfare (EOHW

- Occupational Health & Safety in the Care and Use of Animals
- Laboratory Animal Allergy

#### **SUBJECT: Occupational Environmental Safety Office (OESO)**

- Syringes and Needles
- Orientation to Bio-Safety in Microbiological and Biomedical Laboratories
- Bloodborne Pathogens Training for Animal Research
- ➤ Laboratory Bio-Security

#### **SUBJECT: Controlled Substances**

Working with Controlled Substances

#### **SUBJECT: DLAR Animal Care Policies and Procedures**

Personal Protective Equipment for Working in a Laboratory Animal Facility

Animal Specific Training: Amphibians
| Format | Details | Time | Notes |

	<u></u>			Specific Training: A			I=
	Topic	Sub-topics	Format	Details		Notes	Exceptions
Species Specific Training			Web Module	A.L.L. Module + Quiz for ALAT Training "ALAT 30: Amphibians"	120		If working with a species where there i NOT a A.L.L. module for, one-on-one training may be required
Procedures	Handling and Restraint Injections		Lecture	Lecture covering the basics of common procedures	60	Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences     Review Duke's policies and procedures (what ones are available and where to find them)	As the RACC training program grows, consider a hands-on portion that is protocol/species specific
	Blood withdrawals  Identification					Allow time for specific questions on species or web module     Discuss means to request individual or protocol/species specific training	
	Oral gavage						
Expectations of care	Pain, anesthesia and analgesia	Pain recognition     Anesthetics,     analgesics,     tranquilizers,     neuromuscular     blocking agents	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility  Review of husbandry policies  Security		Tour		60	Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed	Should be required for RACs from PI managed areas also; facility they tour should have similar species/housing that is used in the
Euthanasia	Do's and Don'ts		Locture		20	Eagus on Duko (IACHE nelles and above	laboratory
Euthanasia	Protocol compliance Ensuring death		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:					702		hours
Lecture					300		hours
Web Based					342		hours
Other					60	1	hours

**Animal Specific Training: Birds** 

	I	la		lai specific fraiffilig			I I
	Topic	Sub-topics	Format	Details		Notes	Exceptions
Species			Web	A.L.L. Module + Quiz	120		If working with a
Specific			Module	for ALAT Training			species where there is
Training				"ALAT 29: Birds"			NOT an A.L.L. module,
							one-on-one training
							may be required
_							
Procedures	Handling and Restraint		Lecture	Lecture covering the	60	_	As the RACC training
				basics of common		considerations for common procedures and	program grows,
				procedures		highlight any obvious species specific	consider a hands-on
	Injections					diffrences	portion that is
						Review Duke's policies and procedures  (what ones are systically and where to find	protocol/species
						(what ones are available and where to find them)	specific
	Blood withdrawals					Allow time for specific questions on	
						species or web module	
						Discuss means to request individual or	
	Identification					protocol/species specific training	
	Oral gavage						
	Orargavage						
Expectations	Pain, anesthesia and	Pain recognition	Web	A.L.L. Module + Quiz	90	Recommend this prior to lecture to address	
of care	analgesia	Anesthetics,	Module	"Pain recognition and		any questions	
0. 00. 0	una geola	analgesics,	caa.c	alleviation in		any questions	
		tranquilizers,		laboratory animals"			
		neuromuscular		,			
		blocking agents					
			Lecture		60	Cover Duke's policies; stress importance of	
						following anesthetic and analgesic plan	
						outlined in the approved protocol	
					400		
	Aseptic Surgical		Web	A.L.L. Module + Quiz	120	'	Future
	Technique		Module	"Aseptic Technique for		any questions	Recommendation:
				Rodent Survival			Cover this topic in a hands-on wet-lab
				Surgery"			ilalius-oli wet-lab
			Web		12	http://oacu.od.nih.gov/UsefulResources/AT	
			Video			TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different	
						requirments of mouse/rat aseptic	
						procedures (seen in module) and Bird	
						species	
	Surgical Monitoring	Peri-op	Lecture		90	Cover Duke's policies and record	
						requirements	
		Intra-op				Highlight: <b>Peri-op</b> analgesics, fasting,     New Justing of animal conditions letter and a second sec	
						evaluation of animal condition; Intra-op monitoring for surgical depth; Post-op	
						monitoring requirements	
		Post-op				moments	
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR	Maintenance of the		Tour		60	Tour to be scheduled with DLAR staff	Should be required
Husbandry	facility		Tour		00	member, preferable to coordinate with	for RACs from PI
y						husbandry supervisor of the area their	managed areas also;
	Review of husbandry					animals are housed	facility they tour
	policies						should have similar
	Security	1					species/housing that
							is used in the
	Do's and Don'ts	1					laboratory
	2004						
Euthanasia	Protocol compliance		Lecture		30	Focus on Duke/IACUC policy and stress	
	Ensuring death					secondary method	
Total Time:					702	11.7	hours
Lecture					300		hours
Web Based					342		hours
Other					60	1	hours

Animal Specific Training: Cats

	Topic	Sub-topics	Format	nal Specific Training Details		Notes	Exceptions
Species	Торіс	Sub-topics	Web	A.L.L. Module + Quiz	120	Notes	If working with a
Species Specific Training			Module	for ALAT Training "ALAT 24: Cats"	120		species where there NOT a A.L.L. module for, one-on-one training may be required
Procedures	Handling and Restraint		Lecture	Lecture covering the basics of common procedures	60	<ul> <li>Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences</li> </ul>	As the RACC training program grows, consider a hands-on portion that is
	Injections					Review Duke's policies and procedures (what ones are available and where to find them)	protocol/species specific
	Blood withdrawals  Identification					Allow time for specific questions on species or web module     Discuss means to request individual or species consider training.	
	Oral gavage					protocol/species specific training	
Expectations of care	Pain, anesthesia and analgesia	Pain recognition     Anesthetics,     analgesics,     tranquilizers,     neuromuscular     blocking agents	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements  • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
		Post-op					
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility  Review of husbandry policies		Tour		60	Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed	Should be required for RACs from PI managed areas also; facility they tour should have similar
	Do's and Don'ts						species/housing that is used in the laboratory
Euthanasia	Protocol compliance		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:	Ensuring death				702		hours
Lecture					300		hours
Web Based					342	5.7	hours

Animal Specific Training: Dogs
mat | Details | Time | Notes

	Tonic	Sub tonics		al Specific Training			Eventions
Species	Topic	Sub-topics	Format Web	<b>Details</b> A.L.L. Module + Quiz	120	Notes	If working with a
Species Specific Training			Module	for ALAT Training "ALAT 25: Dogs"	120		species where there is NOT a A.L.L. module for, one-on-one training may be required
Procedures	Handling and Restraint Injections		Lecture	Lecture covering the basics of common procedures	60	Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences     Review Duke's policies and procedures (what ones are available and where to find	As the RACC training program grows, consider a hands-on portion that is protocol/species specific
	Blood withdrawals					them)  • Allow time for specific questions on species or web module  • Discuss means to request individual or protocol/species specific training	Specific
	Oral gavage						
Expectations of care	Pain, anesthesia and analgesia	Pain recognition     Anesthetics,     analgesics,     tranquilizers,     neuromuscular     blocking agents	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video			http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility  Review of husbandry policies  Security  Do's and Don'ts		Tour		60	Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed	Should be required for RACs from PI managed areas also; facility they tour should have similar species/housing that is used in the laboratory
Euthanasia	Protocol compliance		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:	Ensuring death				702	<u> </u>	hours
Lecture					300		hours
Web Based					342	5.7	hours
Other					60	1	hours

Animal Specific Training: Fish
| Format | Details | Time | Notes |

	Tonic	Sub topics		nal Specific Training Details		Notes	Exceptions
nocios	Topic	Sub-topics	Format	A.L.L. Module + Quiz		Notes	
species specific raining			Web Module	A.L.L. Module + Quiz for ALAT Training "ALAT 31: Fish"	120		If working with a species where there NOT a A.L.L. module for, one-on-one training may be required
Procedures	Handling and Restraint Injections		Lecture	Lecture covering the basics of common procedures	60	Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences     Review Duke's policies and procedures	As the RACC training program grows, consider a hands-on portion that is protocol/species
	Blood withdrawals					(what ones are available and where to find them)  • Allow time for specific questions on species or web module  • Discuss means to request individual or	specific
	Oral gavage					protocol/species specific training	
expectations of care	Pain, anesthesia and analgesia	Pain recognition     Anesthetics,     analgesics,     tranquilizers,     neuromuscular     blocking agents	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements  • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
DLAR	Recordkeeping  Maintenance of the		Lecture Tour		30 60	Cover Duke's policies  Tour to be scheduled with DLAR staff	Should be required
Husbandry	facility  Review of husbandry		Todi		00	member, preferable to coordinate with husbandry supervisor of the area their animals are housed	for RACs from PI managed areas also facility they tour
	policies Security						should have similar species/housing tha is used in the
	Do's and Don'ts						laboratory
uthanasia	Protocol compliance		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
otal Time:	Ensuring death				702	<u> </u>	hours
ecture					300		hours
Web Based					342		hours
Other					60	1	hours

Animal Specific Training: Gerbils

Format Details Time Notes

	Tonic	Sub-topics	1	Il Specific Training:		Notes	Excentions
Species	Topic	aup-topics	Format Web	Details  A.L.L. Module + Quiz	120	inotes	If working for a
Species Specific Training			Module	A.L.I. Module + Quiz for ALAT Training "ALAT 21: Gerbils"	120		species where there is NOT a A.L.L. module for, one-on-one training may be required
Procedures	Handling and Restraint  Injections		Lecture	Lecture covering the basics of common procedures	60	<ul> <li>Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences</li> </ul>	As the RACC training program grows, consider a hands-on portion that is
	Blood withdrawals					<ul> <li>Review Duke's policies and procedures (what ones are available and where to find them)</li> </ul>	protocol/species specific
	Identification					Allow time for specific questions on species or web module     Discuss means to request individual or protocol/species specific training	
	Oral gavage					processor, special experience	
Expectations of care	Pain, anesthesia and analgesia	<ul> <li>Pain recognition</li> <li>Anesthetics, analgesics, tranquilizers, neuromuscular blocking agents</li> </ul>	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements  • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility		Tour		60	Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their	Should be required for RACs from PI managed areas also;
	Review of husbandry policies Security					animals are housed	facility they tour should have similar species/housing that
	Do's and Don'ts						is used in the laboratory
Euthanasia	Protocol compliance Ensuring death		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:				·	702	11.7	hours
Lecture					300	5	hours
Web Based					342		hours
Other					60	1	hours

Animal Specific Training: Guinea Pigs

	Topic	Sub-topics	Format	Details		Notes	Exceptions
Species			Web	A.L.L. Module +	120		If working for a
Specific Training			Module	Quiz for ALAT Training "ALAT 22: Guinea Pigs"			species where there is NOT a A.L.L. module for, one-on-one training may be required
Procedures	Handling and Restraint Injections Blood withdrawals Identification Oral gavage		Lecture	Lecture covering the basics of common procedures	60	Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences     Review Duke's policies and procedures (what ones are available and where to find them)     Allow time for specific questions on species or web module     Discuss means to request individual or protocol/species specific training	As the RACC training program grows, consider a hands-on portion that is protocol/species specific
Expectations of care	Pain, anesthesia and analgesia	<ul> <li>Pain recognition</li> <li>Anesthetics, analgesics, tranquilizers, neuromuscular blocking agents</li> </ul>	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op	Lecture		90	Cover Duke's policies and record requirements  Highlight: Peri-op analgesics, fasting,	
		Intra-op				evaluation of animal condition; Intra-op monitoring for surgical depth; Post-op monitoring requirements	
		Post-op					
	Recordkeeping		Lecture			Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility  Review of husbandry policies  Security		Tour			Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed	Should be required for RACs from PI managed areas also; facility they tour should have similar species/housing that is used in the
Futhanasia	Do's and Don'ts		La atri		20	Facus on Dulin /IACUS and and an	laboratory
Euthanasia	Protocol compliance Ensuring death		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:	<u> </u>			•	702	11.7	hours
Lecture					300	5	hours
Web Based					342		hours
Other					60	1	hours

Animal Specific Training: Mice

	Topic	Sub-topics	Format	Details	Time	Notes	Exceptions
Species Specific Training			Web Module	A.L.L. Module + Quiz for ALAT Training "ALAT 18: Mice"	120		If working with a species where there i NOT a A.L.L. module, one-on-one training may be required
Procedures	Handling and Restraint Injections Blood withdrawals Identification Oral gavage		Lecture	Lecture covering the basics of common procedures	60	Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences     Review Duke's policies and procedures (what ones are available and where to find them)     Allow time for specific questions on species or web module     Discuss means to request individual or protocol/species specific training	As the RACC training program grows, consider a hands-on portion that is protocol/species specific
Expectations of care	Pain, anesthesia and analgesia	Pain recognition     Anesthetics,     analgesics,     tranquilizers,     neuromuscular     blocking agents	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility  Review of husbandry policies  Security  Do's and Don'ts		Tour		60	Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed	Should be required for RACs from PI managed areas also; facility they tour should have similar species/housing that is used in the laboratory
Euthanasia	Protocol compliance		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:	Ensuring death				702	11 7	hours
Lecture					300		hours
Web Based					342		hours
Other					60	1	hours

Animal Specific Training: Non-Human Primates

Procedures    Manuffing and Restands   Procedures   Proce		Topic	Sub-topics	Format	Details	Time		Exceptions
Injections	Specific Training			Web Module	A.L.L. Module + Quiz for ALAT Training "ALAT 28: Nonhuman Primates"	120		If working with a species where there is NOT a A.L.L. module for, one-on-one training may be required
Aseptic Surgical Anesthetics, analgesics, tranquillizers, tran	Procedures	Injections  Blood withdrawals  Identification		Lecture	basics of common	60	considerations for common procedures and highlight any obvious species specific diffrences  Review Duke's policies and procedures (what ones are available and where to find them)  Allow time for specific questions on species or web module  Discuss means to request individual or	consider a hands-on portion that is protocol/species
Lecture   Go   Cover Duke's policies, stress importance of following anesthetic and analgesic plan outlined in the approved protocol	•		Anesthetics, analgesics, tranquilizers, neuromuscular		"Pain recognition and alleviation in	90	-	
Technique    Module   "Aseptic Technique for Rodert Survival Surgery"				Lecture		60	following anesthetic and analgesic plan	
Video   Incurrent   Intra-op					"Aseptic Technique for Rodent Survival	120	·	Future Recommendation: Cover this topic in a hands-on wet-lab
Surgical Monitoring Peri-op Lecture 90 Cover Duke's policies and record requirements Highlight: Peri-op analgesics, fasting, evaluation of animal condition; Intra-op monitoring requirements  Recordkeeping Lecture 30 Cover Duke's policies  DLAR Husbandry Facility Review of husbandry policies  Security Do's and Don'ts  Euthanasia Protocol compliance Ensuring death  Total Time: 702 11.7 hours  requirements of mouse/rat aseptic procedures (seen in module) and Bird species  Recipies (appears) Review of normal condition; Intra-op monitoring for guirements  Should be requirements of the facility for RACs from PI normal condition; Intra-op monitoring requirements  Total Time: 702 11.7 hours  Forum One Duke/IACUC policy and stress secondary method  Total Time: 702 5 hours  Total Time: 703 5 hours  Total Time: 704 5 hours				Video			TC.wmv	
requirements  Intra-op  Intra-op  Recordkeeping  Recordkeeping  Recordkeeping  Recordkeeping  DLAR Husbandry  Facility  Review of husbandry policies  Security  Do's and Don'ts  Euthanasia  Protocol compliance Ensuring death  Protocol compliance Ensuring death  Protocol compliance Ensuring death  Tour  Recordkeeping  Lecture  30 Cover Duke's policies  Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed  Focus on Duke/IACUC policy and stress secondary method  Tour  Focus on Duke/IACUC policy and stress secondary method  Tour  Total Time:  702  11.7 hours  Lecture  300  5 hours  Web Based				Lecture		30	requirments of mouse/rat aseptic procedures (seen in module) and Bird	
DLAR Husbandry   Maintenance of the facility   Review of husbandry policies   Security   Forestand Do's and Don'ts   Do's and Don'ts   Do's and Don'ts   Do's and Don'ts   Lecture   Total Time:		Surgical Monitoring	Intra-op	Lecture		90	requirements • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b>	
Husbandry Review of husbandry policies Security  Do's and Don'ts  Protocol compliance Ensuring death  Total Time:  Total Time:  Total Time:  Total Security  Facility  Member, preferable to coordinate with husbandry supervisor of the area their animals are housed  Focus on Duke/IACUC policy and stress secondary method  Total Security  Total Security		Recordkeeping		Lecture		30	Cover Duke's policies	
Euthanasia Protocol compliance Ensuring death Secture Secondary method Secture Secture Secture Secondary method Secture		facility  Review of husbandry policies		Tour		60	member, preferable to coordinate with husbandry supervisor of the area their	managed areas also; facility they tour should have similar
Ensuring death     702     11.7 hours       Lecture     300     5 hours       Web Based     342     5.7 hours								
Total Time:         702         11.7 hours           Lecture         300         5 hours           Web Based         342         5.7 hours	Euthanasia			Lecture		30		
Web Based 342 5.7 hours	Total Time:	Lusuring acalli				702	11.7	hours
						342 60		

# Research Animal Coordinator Certification (RACC) Curriculum Animal Specific Training: Rats

	Topic	Sub-topics	Format	Details	Time	Notes	Exceptions
Species Specific Training			Web Module	A.L.L. Module + Quiz for ALAT Training "ALAT 19: Rats	120		If working with a species where there is NOT a A.L.L. module for, one-on-one training may be required
Procedures	Injections  Blood withdrawals  Identification  Oral gavage		Lecture	Lecture covering the basics of common procedures	60	Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences     Review Duke's policies and procedures (what ones are available and where to find them)     Allow time for specific questions on species or web module     Discuss means to request individual or protocol/species specific training	As the RACC training program grows, consider a hands-on portion that is protocol/species specific
Expectations of care	Pain, anesthesia and analgesia	<ul> <li>Pain recognition</li> <li>Anesthetics, analgesics, tranquilizers, neuromuscular blocking agents</li> </ul>	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility  Review of husbandry policies  Security  Do's and Don'ts		Tour			Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed	Should be required for RACs from PI managed areas also; facility they tour should have similar species/housing that is used in the laboratory
Euthanasia	Protocol compliance		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:	Ensuring death				702		hours
Lecture					300		hours
Web Based					342		hours
Other					60	1	hours

Animal Specific Training: Swine

	Topic	Sub-topics	Format	al Specific Training: Details		Notes	Exceptions
Species	ТОРІС	Sub-topics	Web	A.L.L. Module + Quiz	120	Notes	If working with a
Specific Training			Module	for ALAT Training "ALAT 26: Swine"	220		species where there NOT a A.L.L. module for, one-on-one training may be required
Procedures	Handling and Restraint Injections Blood withdrawals		Lecture	Lecture covering the basics of common procedures	60	Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences     Review Duke's policies and procedures (what ones are available and where to find them)     Allow time for specific questions on species or web module	As the RACC training program grows, consider a hands-on portion that is protocol/species specific
	Identification Oral gavage					Discuss means to request individual or protocol/species specific training	
Expectations of care	Pain, anesthesia and analgesia	Pain recognition     Anesthetics,     analgesics,     tranquilizers,     neuromuscular     blocking agents	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements  • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility Review of husbandry policies Security Do's and Don'ts		Tour		60	Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed	Should be required for RACs from PI managed areas also facility they tour should have similar species/housing tha is used in the laboratory
Euthanasia	Protocol compliance		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:	Ensuring death				702		hours
Lecture					300		hours
Web Based					342		hours
Other					60	1	hours

Animal Specific Training: Rabbits
| Format | Details | Time | Notes |

Species Specific Training Procedures	Торіс	Sub-topics	Web Module	Details  A.L.L. Module + Quiz for ALAT Training "ALAT 23: Rabbits"	120	Notes	Exceptions If working for a species where there
Specific Training				for ALAT Training	120		_
Procedures				ALAT 23. NUMBER			NOT a A.L.L. module for, one-on-one training may be required
	Handling and Restraint Injections Blood withdrawals Identification Oral gavage		Lecture	Lecture covering the basics of common procedures	60	Focus of this lecture should cover general considerations for common procedures and highlight any obvious species specific diffrences     Review Duke's policies and procedures (what ones are available and where to find them)     Allow time for specific questions on species or web module     Discuss means to request individual or protocol/species specific training	As the RACC training program grows, consider a hands-on portion that is protocol/species specific
Expectations of care	Pain, anesthesia and analgesia	Pain recognition     Anesthetics,     analgesics,     tranquilizers,     neuromuscular     blocking agents	Web Module	A.L.L. Module + Quiz "Pain recognition and alleviation in laboratory animals"	90	Recommend this prior to lecture to address any questions	
			Lecture		60	Cover Duke's policies; stress importance of following anesthetic and analgesic plan outlined in the approved protocol	
	Aseptic Surgical Technique		Web Module	A.L.L. Module + Quiz "Aseptic Technique for Rodent Survival Surgery"	120	Recommend this prior to lecture to address any questions	Future Recommendation: Cover this topic in a hands-on wet-lab
			Web Video		12	http://oacu.od.nih.gov/UsefulResources/AT TC.wmv	
			Lecture		30	Cover Duke's policies and STRESS different requirments of mouse/rat aseptic procedures (seen in module) and Bird species	
	Surgical Monitoring	Peri-op Intra-op Post-op	Lecture		90	Cover Duke's policies and record requirements  • Highlight: <b>Peri-op</b> analgesics, fasting, evaluation of animal condition; <b>Intra-op</b> monitoring for surgical depth; <b>Post-op</b> monitoring requirements	
	Recordkeeping		Lecture		30	Cover Duke's policies	
DLAR Husbandry	Maintenance of the facility  Review of husbandry policies  Security		Tour		60	Tour to be scheduled with DLAR staff member, preferable to coordinate with husbandry supervisor of the area their animals are housed	Should be required for RACs from PI managed areas also facility they tour should have similar species/housing tha is used in the laboratory
Euthanasia	Do's and Don'ts		Locture		20	Eagus on Duko/IACHC notice and etre	, , , , , ,
.uuiailasid	Protocol compliance Ensuring death		Lecture		30	Focus on Duke/IACUC policy and stress secondary method	
Total Time:					702		hours
Lecture Mala Bassal					300		hours
Web Based					342 60		hours

### **RACC Mouse Procedures Training Form**

Procedure	Participant's Initials	Instructor Initials
Handling & restraint		
Intraperitoneal (IP) injections		
Subcutaneous (SC) injections		
Intravenous (IV) injections (tail vein)		
Submandibular blood collection		
Oral gavage		
Euthanasia (CO2 followed by bilateral thoracotomy)		
Cardiac puncture (post CO2 euthanasia)		
Aseptic Technique		
		I
Participant:		
Print Name	Initials	
Participant Signature:		
What lab do you work in at Duke?		
Instructor: Amy McArdle		
Date of Training:		

#### **Duke University Medical Center**

#### Research Animal Coordinator Training and Certification Program

**Application** 

The Duke University Animal Care and Use Program (ACUP) is hosting the Research Animal Coordinator Training and Certification Program. This program is designed to enhance an individual's knowledge of important animal research topics and facilitate smooth and efficient animal based research in the laboratory. Training includes federal regulations, Duke's policies, animal handling and welfare principles. Successful individuals will be certified as a coordinator within their laboratory to disseminate knowledge, provide training, speed-up protocol processing, and ensure animal welfare and protocol compliance within their laboratory.

To enroll in the RAC training program please complete this form and e-mail it to <a href="www.wade@duke.edu">www.wade@duke.edu</a> or fax it to 919.668.6725. Enrollment is limited to one person per laboratory or per principal investigator.

1.	Name of laboratory member (applicant):  • Educational background and/or degrees:  • Contact number:  • Email address:
2.	Principal investigator recommending this applicant:  Contact number:  Email address:
3.	Protocol number(s) associated with this laboratory:  Animal species on protocol(s):  Animal procedures on these protocols (please indicate which species the procedure will be performed on if using >1 species):
	<ul> <li>Some/all animals in this laboratory or under these protocols are housed in laboratory space and husbandry services are provided by the PI or laboratory staff (i.e., they are not in a DLAR managed area) Yes No (choose one)</li> </ul>
4.	<ul> <li>The principal investigator should approve this application by hard copy signing this application, providing an electronic signature, or sending an email endorsement for the applicant to participate in the Research Animal Coordinator Certification Program. Eligibility criteria for RACC applicants: <ul> <li>Be approved by a Duke principal investigator</li> <li>Be a permanent employee of Duke University</li> <li>Have a role within the laboratory of animal care/use oversight, management, care, or research (e.g., lab manager, senior lab associate, principal lab person, primary lab contact, responsible person for animal care)</li> </ul> </li> </ul>
Sig	nature of the applicant: Date:
Sig	nature of the principal investigator: Date:
< NI	OTE: DOCUMENTS SENT FROM A 'DUKE EDU' EMAIL ADDRESS ARE CONSIDERED ELECTRONICALLY SIGNEDS

#### Need More Info?

If you would like more information on the Research Animal Coordinator Training and Certification Program, including an outline of all required courses and evaluation requirements, please contact: Bill Wade at <a href="mailto:w.wade@duke.edu">w.wade@duke.edu</a> or 668.6722

#### **DUKE UNIVERSITY ANIMAL CARE AND USE PROGRAM**

#### RESEARCH ANIMAL COORDINATOR CERTIFICATION PROGRAM

#### PRE-COURSE SURVEY

Please take a few moments to fill out this pre-course survey. This information will help us in preparing future course modules and allow us to provide current, practical information for the course participants.

1.	Is the species of animal you work with covered by any of the federal regulations, and if so which one?
2.	Which federal animal use 'policy' governs NIH funded activity with animals?
3.	What federal agency provides the most funding for biomedical research?
4.	Who is ultimately responsible for all research conducted on animals under an IACUC approved protocol?
5.	Under what circumstances can the IACUC suspend an animal model protocol?
6.	How often must protocols be reviewed? And by whom must they be reviewed?
7.	What information do you particularly hope to receive from the program?
8.	Who is your departmental representative to the IACUC?
9.	What is the proper method to capture and transport a mouse?
10.	Describe the difference (if any) between an animal rights and animal welfare activist.

11.	What is a 'h	numane endpoint?'					
12.	What is the difference between IACUC Policies and IACUC Suggestions?						
13.	Where cou	ld you find guidance on the maximum amount of blood you can collect from a mouse?					
14.	What is the	web address for:					
	a.	Animal Program Website?					
	b.	DLAR Website?					
15.	What disinf	ecting agents are safe around animals, but kill microbes?					
16.	What are the important environmental parameters for:						
	a.	Aquatics ?					
	b.	Reptiles?					
	c.	Mammals?					
17.	Euthanasia	is the act of (complete sentence):					
18.	Diseases in my animals which can have negative research impact without showing signs of disease						
	include:						
19.	Concerning the concepts of 'pre-emptive analgesia' and pre-emptive antibiotics' :						
	a.	Are important because:					
	b.	Are employed how:					
20.	What are tl	ne 'Animal Tracks?'					

# DUKE UNIVERSITY ANIMAL CARE & USE PROGRAM RESEARCH ANIMAL COORDINATOR

# HAS COMPLETED THE RESEARCH ANIMAL COORDINATOR CERTIFICATION PROGRAM Date



Laura Hale, MD, PhD IACUC Chair Bill Wade, LVT, RLATG, CPIA Education & Training Liaison