Decision Tree for Determining Noncompliance and Reporting

Validate:
- What do you know?
- What do you not know?
- What must you know?

Analyze:
- Protocol(s)
- Process(es)
- Facilities
- Personnel (program)
- Personnel (non-program)

Categorize:
- How quickly to respond
- Severity of issue

Determine:
- Whether to report
- If so, to whom?

Noncompliance? 

Fact Finding

- Analyze Facts
- Triage Noncompliance
- Triage Noncompliance

Yes
No

Yes
No

Yes
No

Report to IO

Report to Appropriate Entity

Preliminary Inquiry

Feedback

Preliminary Level

IACUC Level

Institutional Level

Yes
No

Unsure

Animal Care Unit
Risk Assessors
EH&S
CEOs
General Counsel
Compliance Office
Facilities

Collaborators
Regulators
Accreditors
Funders
Sponsors

Inside Entities
Outside Entities